

**U.S. DEPARTMENT OF TRANSPORTATION  
SMALL BUSINESS INNOVATION RESEARCH PROGRAM  
SOLICITATION NO. DTRT57-11-R-SBIR1  
FY11.1**

**PROPOSAL COVER SHEET**

Project Title \_\_\_\_\_  
 Research Topic No. \_\_\_\_\_ Research Topic Title \_\_\_\_\_  
 Submitted by: Name \_\_\_\_\_  
 Address \_\_\_\_\_  
 City \_\_\_\_\_ State \_\_\_\_\_ Zip + \_\_\_\_\_

Amount Requested (Phase I) \$ \_\_\_\_\_ Proposed Duration \_\_\_\_\_  
 (May be up to \$150,000 unless otherwise indicated) (in months) (Not to exceed six months)

By signing and submitting this coversheet under Solicitation No. DTRT57-11-R-SBIR.1, Topic No. \_\_\_\_\_, certifies that:

1. The above firm, together with its affiliate's \_\_\_\_\_ is not / a small business firm and meets the definition stated in Section II.B; and that it meets the eligibility requirement in Section I.C.
2. The SBIR Applicant is (check one):
  - a. ☐ at least 51% owned and controlled by one or more individuals who are citizens of the United States, or permanent resident aliens in the United States; or
  - b. ☐ at least 51% owned and controlled by another business concern that is itself at least 51% owned and controlled by individuals who are citizens of, or permanent resident aliens in the United States; or
  - c. ☐ a joint venture in which each entity to the venture meets the requirements set forth in 3.a or 3.b above; or, ☐ none of the above
3. The above firm, \_\_\_\_\_ will \_\_\_\_\_ will not primarily employ the Principal Investigator at the time of award and during the conduct of research.
4. The above firm \_\_\_\_\_ does \_\_\_\_\_ does not / qualify as a socially or economically disadvantaged small business as defined in Section II.C. (For statistical purposes only.)
5. The above firm \_\_\_\_\_ does \_\_\_\_\_ does not / qualify as a women-owned small business as defined in Section II.D. (For statistical purposes only.)
6. The above firm \_\_\_\_\_ does \_\_\_\_\_ does not / qualify as a HUB Zone-owned and meet the definition as stated in this Section II. F (For statistical purposes only)
7. The above firm and/or Principal Investigator \_\_\_\_\_ has, \_\_\_\_\_ has not / submitted proposals containing the same, or a significant portion of equivalent or overlapping work to other Federal agencies. (If yes, identify proposals in the Section III. D.10. "Similar Proposals".)
8. The above firm and/or Principal Investigator \_\_\_\_\_ has, \_\_\_\_\_ has not / been funded under any other Federal grant, contract or subcontract program solicitations, or has received other federal awards to conduct essentially equivalent work or overlapping work. (If yes, identify proposals in the Section III. D.10. "Awards".)
9. The above firm \_\_\_\_\_ will, \_\_\_\_\_ will not / permit the Government to disclose the title and technical abstract of your proposed project, plus the name, address, and telephone number of the Corporate/Business Official and Principal Investigator of your firm, if your proposal is recommended for award, to any party that may be interested in contacting you for further information?

By signing and submitting this proposal in response to Solicitation No. DTRT57-11-R-SBIR.1, Topic No. \_\_\_\_\_, I am representing on my own behalf, and on behalf of the SBIR applicant, that the information provided in this certification, the application, and all other information submitted in connection with this application, is true and correct as the date of the submission. I acknowledge that any intentional or negligent misrepresentation of the information contained in this certification may result in criminal, civil or administrative sanctions, including but not limited to: (1) fines, restitution and/or imprisonment under 18 U.S.C. § 1001; (2) treble damages and civil penalties under the False Claims Act (31 U.S.C. § 3729 *et seq.*); (3) double damages and civil penalties under the Program Fraud Civil Remedies Act (31 U.S.C. § 3801 *et seq.*); (4) civil recovery of award

funds, (5) suspension and/or debarment from all Federal procurement and non-procurement transactions (FAR Subpart 9.4 or 2 C.F.R. part 180); and (5) other administrative penalties including termination of SBIR awards.

Principal Investigator

Name \_\_\_\_\_

Title \_\_\_\_\_

Signature\_\_\_\_\_Date\_\_\_\_\_

Telephone No. \_\_\_\_\_

E-mail \_\_\_\_\_

Corporate/Business Official

Name \_\_\_\_\_

Title \_\_\_\_\_

Signature\_\_\_\_\_Date\_\_\_\_\_

Telephone No. \_\_\_\_\_

E-mail \_\_\_\_\_

**PROPRIETARY NOTICE (IF APPLICABLE, SEE SECTION V.D.1)**